

# 4-9 0200

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
BAO 16-25-12

In Re Application Of: ZHENAN BAO et al.

Serial No.  
09/603,941

AUG 03 2000

Filing Date  
06/27/2000

Examiner  
TBA

Group Art Unit  
TBA

Title: ORGANIC SEMICONDUCTOR DEVICE HAVING AN ACTIVE DIELECTRIC LAYER COMPRISING SILSESQUIOXANES

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **12-2325** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

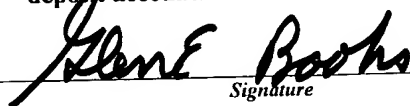
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Signature

**GLEN E. BOOKS, ESQ.**  
REG. NO. 24,950

**MATHEWS, COLLINS, SHEPHERD & GOULD**  
100 THANET CIRCLE, SUITE 306  
PRINCETON, NJ 08540  
TEL.: (609) 924-8555

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **JULY 31, 2000** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

  
Signature of Person Mailing Correspondence

**ALAYNE GELLER**

Typed or Printed Name of Person Mailing Correspondence

Dated: **JULY 31, 2000**

CC: